



JC20 Rec'd PCT/PTO 30 JUL 2001

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| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |  | ATTORNEY'S DOCKET NUMBER<br><b>FUKUNAGA=5</b>                       |
|   |  | U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>09/890330</b> |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/JP00/08418</b>  | INTERNATIONAL FILING DATE<br><b>29 November 2000</b> | PRIORITY CLAIMED<br><b>30 November 1999</b>                         |
| TITLE OF INVENTION<br><b>METHOD AND APPARATUS FOR FORMING THIN FILM OF METAL</b>  |  |   |
| APPLICANT(S) FOR DO/EO/US<br><b>Akira FUKUNAGA et al.</b>   |  |   |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li><li>4. <input type="checkbox"/> The US has been elected in a Demand by the expiration of 19 months from the priority date (PCT Article 31)</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto (required only if not transmitted by the International Bureau).</li><li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li><li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired</li><li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))</li><li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))</li></ol> <p>Items 11. to 16. below concern document(s) or information included:</p> <ol style="list-style-type: none"><li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input type="checkbox"/> An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.<br/><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li><li>14. <input type="checkbox"/> A substitute specification.</li><li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li><li>16. <input checked="" type="checkbox"/> Other items or information:<ul style="list-style-type: none"><li><input checked="" type="checkbox"/> Courtesy copy of the first page of the International Publication (WO 01/41204).</li><li><input checked="" type="checkbox"/> Formal drawings, 9 sheets, Figures 1A-18.</li><li><input checked="" type="checkbox"/> Courtesy Copy of the International Search Report.</li><li><input checked="" type="checkbox"/> Application Data Sheet.</li></ul></li></ol> <p><input checked="" type="checkbox"/> The application is (or will be) assigned to: <b>EBARA CORPORATION</b>, whose address is 11-1, Haneda Asahi-cho, Ohta-ku, Tokyo, 144-8510.</p> |  |   |

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|--|--|------------------------------|--|-----------------------|--|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5) |  | International Application No |  | Attorney's Docket No. |  |
| <b>09/890330</b>                               |  | PCT/JP00/08418               |  | FUKUNAGA=5            |  |

17. [xx] The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a)(1)-(5):**  
 Neither international preliminary examination fee (37 CFR 1.482)  
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
 and International Search Report not prepared by the EPO or JPO..... **\$1000.00**

International preliminary examination fee (37 CFR 1.482) not paid to  
 USPTO but International Search Report prepared by the EPO or JPO ..... **\$860.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
 international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$710.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
 but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$690.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
 and all claims satisfied provisions of PCT Article 33(1)-(4). ..... **\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30  
 months from the earliest claimed priority date (37 CFR 1.492(e)).

| Claims as Originally Presented            | Number Filed | Number Extra | Rate      |           |
|---|--------------|--------------|-----------|-----------|
| Total Claims                              | 23 - 20      | 3            | X \$18.00 | \$ 54.00  |
| Independent Claims                        | 3 - 3        |              | X \$80.00 | \$        |
| Multiple Dependent Claims (if applicable) |              |              | +\$270.00 | \$ 270.00 |

**TOTAL OF ABOVE CALCULATIONS =**

| Claims After Post Filing Prel Amend | Number Filed | Number Extra | Rate      |    |
|-------------------------------------|--------------|--------------|-----------|----|
| Total Claims                        | - 20         |              | X \$18.00 | \$ |
| Independent Claims                  | - 3          |              | X \$78.00 | \$ |

**TOTAL OF ABOVE CALCULATIONS =**

Reduction of 1/2 for filing by small entity, if applicable. Applicant claims small entity  
 status. See 37 CFR 1.27.

**SUBTOTAL =**

Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30  
 months from the earliest claimed priority date (37 CFR 1.492(f))

**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property +

**TOTAL FEES ENCLOSED =**

|  |               |    |
|--|---------------|----|
|  | Amount to be: | \$ |
|  | refunded      |    |
|  | charged       | \$ |

**CALCULATIONS PTO USE ONLY**

a. [ ] A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. [X] Credit Card Payment Form (PTO-2038), authorizing payment in the amount of \$ 1,184.00, is attached.

c. [ ] Please charge my Deposit Account No. 02-4035 in the amount of \$\_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

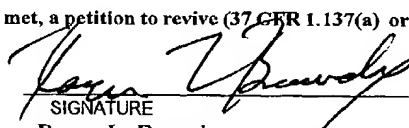
d. [XX] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment  
 to Deposit Account No. 02-4035. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or  
 (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**BROWDY AND NEIMARK, P.L.L.C.**  
 624 NINTH STREET, N.W., SUITE 300  
 WASHINGTON, D.C. 20001  
 TEL: (202) 628-5197  
 FAX: (202) 737-3528  
 Date of this submission: **July 30, 2001**

Form PTO-1390 (as slightly revised by Browdy and Neimark)



SIGNATURE

Roger L. Browdy

NAME

25,618

REGISTRATION NUMBER